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# Large CP Violation, Large Mixings of Neutrinos and Democratic-type Neutrino Mass Matrix

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## Abstract

We propose a democratic-type neutrino mass matrix based on  $Z_3$  symmetry. This mass matrix predicts the CP violation phase,  $\delta = \pi/2$ , and the mixing angle between the mass eigenstates  $\nu_2$  and  $\nu_3$ ,  $\sin^2 \theta_{23} = \cos^2 \theta_{23} = 1/2$  which is essential for the large atmospheric neutrino mixing between  $\nu_\mu$  and  $\nu_\tau$ . In this model, the large CP violation effect may be expected.

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# 1 Introduction

The recent data on the atmospheric neutrino by Super-Kamiokande (Super-K)[1] show that the origin of the zenith angle dependence of neutrino flux is due to the oscillation between  $\nu_\mu$  and  $\nu_\tau$ . The possibility of the  $\nu_\mu$  oscillation to the sterile neutrino  $\nu_s$  is almost excluded[1]. Also, the possibility of  $\nu_\mu$  to  $\nu_e$  oscillation is small[1] in accordance with the CHOOZ data[2]. The Super-K data is strengthened by the other data by MACRO[3] and Soudan 2[4] experiments. The preferable values of mass and mixing parameters are

$$\sin^2 2\theta_{atm} = 1.0, \Delta m_{atm}^2 = 3.5 \times 10^{-3} \text{eV}^2. \quad (1)$$

At 90% confidence level, the allowed region is  $2 \times 10^{-3} \text{eV}^2 < \Delta m_{atm}^2 < 6 \times 10^{-3} \text{eV}^2$  and  $\sin^2 2\theta_{atm} > 0.85$ .

The situation of the solar neutrino problem is more involved. There are various solutions that explain the absolute flux deficits by the Homestake[5], the Super-K[6], the GALLEX[7] and the SAGE[8] data, the small angle MSW solution ( $\Delta m_{solar}^2 = \text{a few} \times 10^{-5} \text{eV}^2$ ), the large angle MSW solution ( $10^{-5} \text{eV}^2 < \Delta m_{solar}^2 < 10^{-4} \text{eV}^2$ ), the large angle low mass solution ( $\Delta m_{solar}^2 \sim 10^{-7} \text{eV}^2$ ) and the Just-so solution ( $10^{-11} \text{eV}^2 < \Delta m_{solar}^2 < 10^{-10} \text{eV}^2$ ). In order to discriminate these solutions, the Super-K made the extensive study on the flux independent analysis[6] by observing the day/night flux difference, the energy spectrum distortion of the recoil electron and the seasonal variation. Although the statistic is not sufficient, there is a tendency that the large mixing angle solutions are preferable. If the flux of the hep neutrino is taken free, the large angle MSW and the large angle low mass solutions have advantage[6]. These are signs to support that the solar neutrino calls for the large mixing between  $\nu_e$  and  $\nu_\mu$ .

At present, three typical mixing schemes to realize large mixing both for the atmospheric neutrino and the solar neutrino mixings are known, the tri-maximal mixing[9], the bi-maximal mixing[10] and the democratic mixing[11]. Among them, the bi-maximal mixing and the democratic mixing matrix contain no CP violation phase. The reason is due to the absence of the mixing between the first and the third mass eigenstates. In contrast, the tri-maximal mixing predicts the maximal CP violation, which is the inevitable

consequence of its structure.

In view of the interest in the structure to give the large mixing between  $\nu_\mu$  to  $\nu_\tau$  and the maximal CP violation in the tri-maximal mixing that are derived from a democratic mass matrix as we see later, we propose a democratic-type neutrino mass matrix based on  $Z_3$  symmetry. We expected that this mass matrix interpolates the tri-maximal mixing scheme and the bi-maximal mixing scheme. Surprisingly, we found that this mass matrix predicts that  $\cos^2 \theta_{23} = \sin^2 \theta_{23} = 1/2$ . Here, we used  $\theta_{ij}$  for the mixing angle between mass eigenstates,  $\nu_i$  and  $\nu_j$ . This relation is mostly needed to realize the large atmospheric neutrino mixing. We also found that this model predicts the CP violation phase,  $\delta = \pi/2$ . In our model, the mixing angle between  $\nu_1$  and  $\nu_2$ ,  $\theta_{12}$ , and the mixing angle between  $\nu_1$  and  $\nu_3$ ,  $\theta_{13}$ , are left free. In order to examine the CP violation effect, we calculated the Jarlskog parameter and found that it takes about half of its maximal value if the large angle solar neutrino solutions are taken.

In Sec.2, we give the democratic-type neutrino mass matrix. In Sec.3, the mixing matrix which is predicted by the mass matrix is derived and the physical implication is discussed. The possible derivation of the democratic-type neutrino mass matrix is presented based on  $Z_3$  symmetry in Sec.4. In Sec.5, the summary is given.

## 2 Democratic-type neutrino mass matrix

Throughout of this paper, we consider the neutrino mass matrix in the diagonal mass basis of charged leptons. The name of the democratic-type for mass matrix is used so that the mass matrix includes the democratic forms of matrices and their deformations.

### (a) Democratic mass matrix

We first define the democratic forms of matrices which are the following matrices as

$$S_1 = \frac{1}{3} \begin{pmatrix} 1 & \omega^2 & \omega \\ \omega^2 & \omega & 1 \\ \omega & 1 & \omega^2 \end{pmatrix}, S_2 = \frac{1}{3} \begin{pmatrix} 1 & \omega & \omega^2 \\ \omega & \omega^2 & 1 \\ \omega^2 & 1 & \omega \end{pmatrix}, S_3 = \frac{1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}, \quad (2)$$

where  $\omega = \exp(i2\pi/3)$  or  $\exp(i4\pi/3)$  which satisfies  $\omega^3 = 1$  and  $1 + \omega + \omega^2 = 0$ . The

matrix  $S_3$  is commonly referred to as a democratic form[11], but we consider the other two have the same right to be called democratic forms, because these matrices are related each other by the phase transformation as

$$PS_1P = S_2, \quad PS_2P = S_3, \quad PS_3P = S_1, \quad (3)$$

where

$$P = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}, \quad (4)$$

and thus  $S_1$  and  $S_2$  are derived from  $S_3$  by the phase transformation. It may be worthwhile to note that the phase matrix  $P^*$  transforms  $S_i$  in the reverse cyclic direction as  $P^*S_2P^* = S_1$ .

We define the democratic mass matrix by the linear combination of these three democratic matrices as

$$m_{\nu, demo} = m_1^0 S_1 + m_2^0 S_2 + m_3^0 S_3. \quad (5)$$

Here we consider that mass parameters  $|m_i^0|$  are quantities of the same order of magnitude, following the spirit of the democratic form.

(b) The deformation from the democratic mass matrix

The deformation from the democratic form can be achieved by using the following three matrices,

$$T_1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}, \quad T_2 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}, \quad T_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}. \quad (6)$$

Other symmetric mass matrices are formed by the linear combinations of  $S_i$  and  $T_i$ . Thus, the general mass matrix is given by

$$\begin{aligned} m_{\nu} &= m_{\nu, demo} + \tilde{m}_1 T_1 + \tilde{m}_2 T_2 + \tilde{m}_3 T_3 \\ &= \frac{1}{3} \begin{pmatrix} \bar{m}_1 + \bar{m}_2 + \bar{m}_3 & m_1^0 \omega^2 + m_2^0 \omega + m_3^0 & m_1^0 \omega + m_2^0 \omega^2 + m_3^0 \\ m_1^0 \omega^2 + m_2^0 \omega + m_3^0 & \bar{m}_1 \omega + \bar{m}_2 \omega^2 + \bar{m}_3 & m_1^0 + m_2^0 + m_3^0 \\ m_1^0 \omega + m_2^0 \omega^2 + m_3^0 & m_1^0 + m_2^0 + m_3^0 & \bar{m}_1 \omega^2 + \bar{m}_2 \omega + \bar{m}_3 \end{pmatrix}, \quad (7) \end{aligned}$$

where  $\bar{m}_i = m_i^0 + 3\tilde{m}_i$ . In the following, we call  $m_i^0$  (or  $\bar{m}_i$ ) and  $\tilde{m}_i$  mass parameters. We call this mass matrix as the democratic-type mass matrix.

### 3 Neutrino mixing matrix

The democratic-type mass matrix contains six complex parameters and thus it is a general matrix. In order to reduce the degree of freedom, we assume that

*"all mass parameters,  $m_i^0$  and  $\tilde{m}_i$  are real".*

With this assumption, the mass matrix contains six real freedoms which correspond to neutrino masses and mixing angles. Thus, in general the CP violation phases are predicted once neutrino masses and the mixing angles are given.

This assumption is one of the cases of the rather mild ansatz *"mass parameters are proportional to either one of three quantities, 1,  $\omega$  and  $\omega^2$ ".* Two other possibilities along this ansatz are discussed in Appendix B.

In our model there are two cases,  $\omega = e^{i2\pi/3}$  and  $e^{i4\pi/3}$  which is the complex conjugate to  $e^{i2\pi/3}$ . The mass matrix  $m_\nu$  with real mass parameters has the following property

$$m_\nu(\omega = e^{i2\pi/3}) = m_\nu^*(\omega = e^{i4\pi/3}) . \quad (8)$$

The neutrino mixing matrix  $V$  is defined by  $V^T m_\nu V = D_\nu$  where  $D_\nu = \text{diag}(m_1, m_2, m_3)$ . If  $V$  is the unitary matrix to diagonalize  $m_\nu(\omega = e^{i2\pi/3})$ , then  $V^*$  is the one for  $m_\nu(\omega = e^{i4\pi/3})$ . In below, we discuss the neutrino mixing matrix  $V$  for  $\omega = e^{i2\pi/3}$ , by keeping in mind that  $V^*$  is also allowed in our model.

(a) The neutrino mixing matrix

We consider  $m_\nu$  for  $\omega = e^{i2\pi/3}$ . We first transform mass matrix by using the tri-maximal mixing matrix  $V_T$  as  $V_T^T m_\nu V_T$ , where

$$V_T = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ \omega & \omega^2 & 1 \\ \omega^2 & \omega & 1 \end{pmatrix} . \quad (9)$$

Surprisingly, we find that the transformed mass matrix is a real symmetric matrix:

$$\tilde{m}_\nu = V_T^T m_\nu V_T = \begin{pmatrix} m_1^0 + \tilde{m}_1 & \tilde{m}_3 & \tilde{m}_2 \\ \tilde{m}_3 & m_2^0 + \tilde{m}_2 & \tilde{m}_1 \\ \tilde{m}_2 & \tilde{m}_1 & m_3^0 + \tilde{m}_3 \end{pmatrix}. \quad (10)$$

Then, the matrix  $\tilde{m}_\nu$  is diagonalized by an orthogonal matrix  $O$ .

Now, the unitary matrix  $V$  which diagonalizes  $m_\nu$  is expressed by

$$\begin{aligned} V &= V_T O \\ &= \frac{1}{\sqrt{3}} \begin{pmatrix} O_{11} + O_{21} + O_{31} & O_{12} + O_{22} + O_{32} & O_{13} + O_{23} + O_{33} \\ \omega O_{11} + \omega^2 O_{21} + O_{31} & \omega O_{12} + \omega^2 O_{22} + O_{32} & \omega O_{13} + \omega^2 O_{23} + O_{33} \\ \omega^2 O_{11} + \omega O_{21} + O_{31} & \omega^2 O_{12} + \omega O_{22} + O_{32} & \omega^2 O_{13} + \omega O_{23} + O_{33} \end{pmatrix}. \end{aligned} \quad (11)$$

This unitary matrix is the neutrino mixing matrix because we consider the neutrino mass matrix in the diagonal mass basis of charged leptons. This mixing matrix seems to have a complex form, but it has an outstanding property that  $V_{2i} = V_{3i}^*$  for  $i = 1, 2, 3$ . This property restricts the neutrino mixings tightly. Since it is hard to treat this mixing matrix directly, we attack it from slightly different point of view.

We first observe that by the phase transformation of charged leptons and neutrinos,  $V$  can be made into the standard form  $V_{SF}$  as given in the particle data[12].

$$V_{SF} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}, \quad (12)$$

where  $s_{ij} = \sin \theta_{ij}$ ,  $c_{ij} = \cos \theta_{ij}$  and  $\theta_{ij}$  is the mixing angle which mixes mass eigenstates  $\nu_i$  and  $\nu_j$ . That is, we can write  $V = PV_{SF}P'$ , where  $P$  and  $P'$  are diagonal phase matrices.

The restrictions  $V_{2i} = V_{3i}^*$  for  $i = 1, 2, 3$  lead to the constraints  $|(V_{SF})_{2i}| = |(V_{SF})_{3i}|$  for  $i = 1, 2, 3$ , which are expressed by

$$\begin{aligned} |-s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta}| &= |s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta}|, \\ |c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta}| &= |-c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta}|, \\ |s_{23}c_{13}| &= |c_{23}c_{13}|. \end{aligned} \quad (13)$$

By solving these equations, we find

$$c_{23}^2 = s_{23}^2, \quad \cos \delta = 0, \quad (14)$$

by omitting the uninteresting possibility  $c_{13} = 0$ . It is amazing that our model predicts the CP violation phase,  $\delta = \pi/2$ , and  $c_{23}^2 = s_{23}^2 = 1/2$  which is quite important to explain the almost full mixing between  $\nu_\mu$  and  $\nu_\tau$  in the two mixing limit. The most interesting point is that the mixing angle  $\theta_{23}$  and the CP violation phase  $\delta$  are fixed independently of mass parameters.

(b) General form of neutrino mixing matrix

We take  $s_{23} = -c_{23} = -\frac{1}{\sqrt{2}}$ . Then, the diagonal phase matrices  $P$  and  $P'$  are determined such that the matrix  $V_T^\dagger P V_{SF} P'$  becomes a real orthogonal matrix. In this way, we found

$$V = \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\rho} & 0 \\ 0 & 0 & e^{-i\rho} \end{pmatrix} \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & -is_{13} \\ -\frac{s_{12}-ic_{12}s_{13}}{\sqrt{2}} & \frac{c_{12}+is_{12}s_{13}}{\sqrt{2}} & -\frac{c_{13}}{\sqrt{2}} \\ -\frac{s_{12}+ic_{12}s_{13}}{\sqrt{2}} & \frac{c_{12}-is_{12}s_{13}}{\sqrt{2}} & \frac{c_{13}}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & i \end{pmatrix}. \quad (15)$$

In addition to interesting predictions for  $\theta_{23}$  and  $\delta$ , our neutrino mass matrix predicts the Majorana phase matrix  $\text{diag}(1, 1, i)$  [13, 14] which shows no CP violation intrinsic to Majorana system. The other phase matrix  $\text{diag}(1, e^{i\rho}, e^{-i\rho})$  does not have any physical effect, because this phase is absorbed by charged leptons. Our mass matrix contains six real parameters which are converted to three neutrino masses, two mixing angles,  $\theta_{12}$  and  $\theta_{13}$ , and one unphysical phase  $\rho$ .

The other case  $s_{23} = c_{23} = \frac{1}{\sqrt{2}}$  reduces to the case of  $\delta = -\pi/2$ , which is included in the mixing matrix  $V^*$ .

In below, we discuss that our mixing reduces to two well-known typical large mixing matrices, the tri-maximal mixing and the bi-maximal mixing by imposing simple conditions on mass parameters.

(c) Tri-maximal and Bi-maximal mixing limits

By taking the mass parameters in some special values, our model reduces to models to reproduce the tri-maximal mixing and the bi-maximal mixing.

(c-1) The tri-maximal mixing limit

By taking the mixing angles and phase matrices as  $s_{12} = -1/\sqrt{2}$ ,  $c_{12} = 1/\sqrt{2}$ ,  $s_{13} = 1/\sqrt{3}$ ,  $c_{13} = \sqrt{2/3}$ ,  $\rho = \pi/2$ , the matrix  $V$  reduces to the tri-maximal mixing matrix

$$V = V_T \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad (16)$$

where the phase matrix  $\text{diag}(1, -1, 1)$  does not have any physical meaning.

From Eq.(A.2) in Appendix, the mass parameters are now restricted by

$$\begin{aligned} m_1^0 &= m_1, \quad m_2^0 = m_2, \quad m_3^0 = m_3, \\ \tilde{m}_1 &= \tilde{m}_2 = \tilde{m}_3 = 0. \end{aligned} \quad (17)$$

Now we see the mass matrix  $m_\nu$  which is reduced to the  $m_{\nu, demo}$  as

$$m_\nu = m_1^0 S_1 + m_2^0 S_2 + m_3^0 S_3. \quad (18)$$

The democratic mass matrix  $m_{\nu, demo}$  has various interesting properties which are discussed in Appendix A.

(c-2) The bi-maximal mixing limit

By taking the mixing angles and phase matrices as  $s_{12} = -1/\sqrt{2}$ ,  $c_{12} = 1/\sqrt{2}$ ,  $s_{13} = 0$ ,  $c_{13} = 1$ ,  $\rho = 0$ , the matrix  $V$  reduces to the bi-maximal mixing matrix

$$V = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} O_B \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & i \end{pmatrix}, \quad (19)$$

where  $O_B$  is the bi-maximal mixing matrix defined by

$$O_B = \begin{pmatrix} \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & 0 \\ \frac{1}{2} & \frac{1}{2} & -\frac{1}{\sqrt{2}} \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}. \quad (20)$$

From Eq.(A.2) in Appendix, the mass parameters are now restricted by

$$m_1^0 = m_2^0, \quad \tilde{m}_1 = \tilde{m}_2, \quad (21)$$



and in this case the mass matrix becomes

$$m_{\nu,B} = \frac{1}{3} \begin{pmatrix} \bar{m}_3 + 2\bar{m}_1 & m_3^0 - m_1^0 & m_3^0 - m_1^0 \\ m_3^0 - m_1^0 & \bar{m}_3 - \bar{m}_1 & m_3^0 + 2m_1^0 \\ m_3^0 - m_1^0 & m_3^0 + 2m_1^0 & \bar{m}_3 - \bar{m}_1 \end{pmatrix}. \quad (22)$$

This matrix satisfies the condition that all elements are real,  $(m_{\nu,B})_{22} = (m_{\nu,B})_{33}$  and  $(m_{\nu,B})_{12} = (m_{\nu,B})_{13}$ .

The mass parameters are expressed by neutrino masses and mixing angles as

$$\begin{aligned} m_1^0 = m_2^0 &= \frac{1}{4}(2m_3 + m_2 + m_1) + \frac{1}{2\sqrt{2}}(m_2 - m_1), \\ m_3^0 &= \frac{1}{4}(2m_3 + m_2 + m_1) - \frac{1}{\sqrt{2}}(m_2 - m_1), \\ \tilde{m}_1 = \tilde{m}_2 &= -\frac{1}{6\sqrt{2}}(m_2 - m_1), \\ \tilde{m}_3 &= -\frac{1}{4}(2m_3 - m_2 - m_1) + \frac{1}{3\sqrt{2}}(m_2 - m_1). \end{aligned} \quad (23)$$

It is interesting to observe that our model connects the tri-maximal mixing and the bi-maximal mixing by keeping the CP violation phase,  $\delta = \pi/2$ . In our model, the absence of the CP violation in the bi-maximal limit is solely due to  $\sin\theta_{13} = 0$  and any deviation from it recovers  $\delta = \pi/2$ . Since the restriction  $\sin^2\theta_{23} = \cos^2\theta_{23} = 1/2$  is the most advantageous situation to realize large mixing angle  $\sin^2 2\theta_{atm}$  by deviating  $\sin\theta_{13}$  from zero, this model provides the most advantageous case for the CP violation.

## 4 Analysis of our mixing scheme

We consider the hierarchy of neutrino masses as

$$\begin{aligned} \Delta_{atm} \equiv \Delta_{32} &\simeq \Delta_{31} \simeq 3 \times 10^{-3} \text{eV}^2, \\ \Delta_{solar} \equiv \Delta_{21} &\ll \Delta_{atm}. \end{aligned} \quad (24)$$

(a) Vacuum oscillations

We first derive the probabilities of neutrino oscillations in the vacuum. We use the abbreviation,  $P(\ell \rightarrow \ell')$  for  $P(\nu_\ell \rightarrow \nu_{\ell'})$ . We find

$$P(\tau \rightarrow \tau) = P(\mu \rightarrow \mu), \quad P(e \rightarrow \tau) = P(\mu \rightarrow e), \quad P(\tau \rightarrow e) = P(e \rightarrow \mu) \quad (25)$$

and

$$\begin{aligned} P(e \rightarrow e) &= 1 - 4s_{12}^2 c_{12}^2 c_{13}^4 \sin^2 \left( \frac{\Delta_{21}}{4E} L \right) - 4c_{12}^2 s_{13}^2 c_{13}^2 \sin^2 \left( \frac{\Delta_{31}}{4E} L \right) \\ &\quad - 4s_{12}^2 s_{13}^2 c_{13}^2 \sin^2 \left( \frac{\Delta_{32}}{4E} L \right), \\ P(\mu \rightarrow \mu) &= 1 - A^2 B^2 \sin^2 \left( \frac{\Delta_{21}}{4E} L \right) - c_{13}^2 A^2 \sin^2 \left( \frac{\Delta_{31}}{4E} L \right) \\ &\quad - c_{13}^2 B^2 \sin^2 \left( \frac{\Delta_{32}}{4E} L \right), \\ P(e \rightarrow \mu) &= \frac{1}{2} c_{13}^2 [s_{13} + c_{12}A + s_{12}B]^2 - 2s_{12}c_{12}c_{13}^2 AB \sin^2 \left( \frac{\Delta_{21}}{4E} L + \frac{\delta_1}{2} + \frac{\delta_2}{2} \right) \\ &\quad - 2c_{12}s_{13}c_{13}^2 A \sin^2 \left( \frac{\Delta_{31}}{4E} L + \frac{\delta_1}{2} - \frac{\pi}{4} \right) - 2s_{12}s_{13}c_{13}^2 B \sin^2 \left( \frac{\Delta_{32}}{4E} L - \frac{\delta_2}{2} - \frac{\pi}{4} \right), \\ P(\mu \rightarrow e) &= \frac{1}{2} c_{13}^2 [s_{13} + c_{12}A + s_{12}B]^2 - 2s_{12}c_{12}c_{13}^2 AB \sin^2 \left( \frac{\Delta_{21}}{4E} L - \frac{\delta_1}{2} - \frac{\delta_2}{2} \right) \\ &\quad - 2c_{12}s_{13}c_{13}^2 A \sin^2 \left( \frac{\Delta_{31}}{4E} L - \frac{\delta_1}{2} + \frac{\pi}{4} \right) - 2s_{12}s_{13}c_{13}^2 B \sin^2 \left( \frac{\Delta_{32}}{4E} L + \frac{\delta_2}{2} + \frac{\pi}{4} \right), \\ P(\mu \rightarrow \tau) &= 1 - A^2 B^2 \sin^2 \left( \frac{\Delta_{21}}{4E} L - \delta_1 - \delta_2 \right) - c_{13}^2 A^2 \sin^2 \left( \frac{\Delta_{31}}{4E} L - \delta_1 - \frac{\pi}{2} \right) \\ &\quad - c_{13}^2 B^2 \sin^2 \left( \frac{\Delta_{32}}{4E} L + \delta_2 - \frac{\pi}{2} \right), \\ P(\tau \rightarrow \mu) &= 1 - A^2 B^2 \sin^2 \left( \frac{\Delta_{21}}{4E} L + \delta_1 + \delta_2 \right) - c_{13}^2 A^2 \sin^2 \left( \frac{\Delta_{31}}{4E} L + \delta_1 - \frac{\pi}{2} \right) \\ &\quad - c_{13}^2 B^2 \sin^2 \left( \frac{\Delta_{32}}{4E} L - \delta_2 - \frac{\pi}{2} \right), \end{aligned} \quad (26)$$

where

$$\begin{aligned} A &= \sqrt{s_{12}^2 + c_{12}^2 s_{13}^2}, \quad B = \sqrt{c_{12}^2 + s_{12}^2 s_{13}^2}, \\ \delta_1 &= \tan^{-1} \left( \frac{c_{12}s_{13}}{s_{12}} \right), \quad \delta_2 = \tan^{-1} \left( \frac{s_{12}s_{13}}{c_{12}} \right), \end{aligned} \quad (27)$$

and  $\Delta_{ij} = m_i^2 - m_j^2$ . These are general formula and the simpler form of the oscillation probability is obtained once the distance  $L$  is specified.

(b) The analysis

We start from the CHOOZ data which restrict  $|V_{e3}|^2 < 0.05$  which leads to

$$s_{13}^2 < 0.05 . \quad (28)$$

Next, the probability of  $\nu_\mu$  to  $\nu_e$  and  $\nu_\tau$  at the atmospheric range are simply expressed by

$$\begin{aligned} P(\mu \rightarrow e) &\simeq 2s_{13}^2 c_{13}^2 \sin^2 \frac{\Delta_{atm}}{4E} L , \\ P(\mu \rightarrow \tau) &\simeq c_{13}^4 \sin^2 \frac{\Delta_{atm}}{4E} L . \end{aligned} \quad (29)$$

Therefore, by combining our model and the CHOOZ data we predict the probability for  $\nu_\mu$  to  $\nu_e$  is small ,  $P(\mu \rightarrow e) < 0.1$  and the effective mixing angle between  $\nu_\mu$  to  $\nu_\tau$  is

$$\sin^2 2\theta_{atm} = c_{13}^4 > 0.90 . \quad (30)$$

As for the solar neutrino problem, we assume  $10^{-11}\text{eV}^2 < \Delta_{solar} < 10^{-4}\text{eV}^2$ . In the vacuum, we find

$$\begin{aligned} P(\nu_e \rightarrow \nu_e) &\simeq 1 - 2s_{13}^2 c_{13}^2 - 4s_{12}^2 c_{12}^2 c_{13}^4 \sin^2 \frac{\Delta_{solar}}{4E} L , \\ P(\nu_e \rightarrow \nu_\mu) &\simeq s_{13}^2 c_{13}^2 + 2s_{12}^2 c_{12}^2 c_{13}^4 \sin^2 \frac{\Delta_{solar}}{4E} L + s_{12} c_{12} s_{13} c_{13}^2 \sin \frac{\Delta_{solar}}{2E} L , \\ P(\nu_e \rightarrow \nu_\tau) &\simeq s_{13}^2 c_{13}^2 + 2s_{12}^2 c_{12}^2 c_{13}^4 \sin^2 \frac{\Delta_{solar}}{4E} L - s_{12} c_{12} s_{13} c_{13}^2 \sin \frac{\Delta_{solar}}{2E} L . \end{aligned} \quad (31)$$

Thus, we find that

$$\sin^2 2\theta_{solar} \simeq \sin^2 2\theta_{12} c_{13}^4 > 0.90 \sin^2 2\theta_{12} . \quad (32)$$

Thus, our model can accommodate all four solutions, the small angle MSW, the large angle MSW, the low mass and the Just-so solutions.

(c) CP violation

In order to see the size of the CP violation, we consider the Jarlskog parameter that is defined by[15]

$$J_{CP} \equiv \Im(V_{e1} V_{e2}^* V_{\mu 1}^* V_{\mu 2}) = s_{12} c_{12} s_{23} c_{23} s_{13} c_{13}^2 \sin \delta \leq 1/6\sqrt{3} . \quad (33)$$

Our predicted values,  $c_{23} = -s_{23} = 1/\sqrt{2}$  and  $\sin \delta = 1$  give the most advantageous case to obtain large  $J_{CP}$  concerning  $\theta_{23}$  and  $\delta$ ,

$$(J_{CP})_{our\ model} = -\frac{1}{2}s_{12}c_{12}s_{13}c_{13}^2. \quad (34)$$

The prediction of  $J_{CP}$  depends on  $\theta_{12}$  and  $\theta_{13}$ . If we take the value  $s_{13}^2 = 0.05$ , we have  $J_{CP} = -0.053 \sin 2\theta_{12}$ . If the solar neutrino mixing turns out to be one of large angle solutions,  $\sin^2 2\theta_{12} \sim 0.8$  we find  $J_{CP} = -0.047$  which is about half of the maximal value  $(J_{CP})_{max} \simeq 0.096$ . For the small angle case, we obtain about 10 times smaller value than the large angle case.

## 5 Some derivations of the neutrino mass matrix

The neutrino mass matrix that we discussed in the former section may be derived by following considerations in the basis where charged leptons are mass eigenstates.

(a) Neutrino mass term and  $S_3$  symmetry with  $Z_3$  phases

We consider the following three types of transformations;

$$\begin{aligned} \text{(I)} \quad & \nu_e \rightarrow \omega^2 \nu_\mu, \nu_\mu \rightarrow \omega^2 \nu_\tau, \nu_\tau \rightarrow \omega^2 \nu_e, \\ \text{(II)} \quad & \nu_e \rightarrow \omega \nu_\mu, \nu_\mu \rightarrow \omega \nu_\tau, \nu_\tau \rightarrow \omega \nu_e, \\ \text{(III)} \quad & \nu_e \rightarrow \nu_\mu, \nu_\mu \rightarrow \nu_\tau, \nu_\tau \rightarrow \nu_e, \end{aligned} \quad (35)$$

where  $\omega = \exp(i2\pi/3)$  or  $\exp(i4\pi/3)$ . They are considered as  $S_3$  transformations with  $Z_3$  phases.

The Majorana mass matrix for left-handed neutrinos which is invariant under one of these transformation is expressed by

$$M_i = m_i^0 S_i + \tilde{m}_i T_i, \quad (36)$$

where  $i = 1, 2, 3$ ,  $S_i$  and  $T_i$  are defined in Eqs.(2) and (6). The mass matrix  $M_1$  is derived by imposing the transformation (I) and so on.

Since there is no principle to discriminate these three matrices  $M_i$ , we assume that the neutrino mass matrix  $m_\nu$  is expressed by the sum of these three mass matrices, although there is no good reason to explain this. Then, we obtain the neutrino mass matrix,  $m_\nu$  in Eq.(7).

(b)  $Z_3$  invariant Lagrangian

Another reason to introduce the mass matrix in Eq.(7) may be given by imposing the  $Z_3$  symmetry on Yukawa interaction. The left-handed doublet leptons can be arranged in eigenstates of  $Z_3$  symmetry as

$$\begin{aligned}\Psi_1 &= \frac{\omega^2 \ell_e + \omega \ell_\mu + \ell_\tau}{\sqrt{3}}, \\ \Psi_2 &= \frac{\omega \ell_e + \omega^2 \ell_\mu + \ell_\tau}{\sqrt{3}}, \\ \Psi_3 &= \frac{\ell_e + \ell_\mu + \ell_\tau}{\sqrt{3}},\end{aligned}\tag{37}$$

where  $\ell_e^T = (\nu_{eL}, e_L)$  and so on. Under the  $S_3$  transformation,  $\ell_e \rightarrow \ell_\mu$  and  $\ell_\mu \rightarrow \ell_\tau$   $\ell_\tau \rightarrow \ell_e$ , they are transformed as

$$\Psi_1 \rightarrow \omega^2 \Psi_1, \quad \Psi_2 \rightarrow \omega \Psi_2, \quad \Psi_3 \rightarrow \Psi_3.\tag{38}$$

Then, we introduce three kinds of triplet Higgs which transform as  $\Delta_1 \rightarrow \omega^2 \Delta_1$ ,  $\Delta_2 \rightarrow \omega \Delta_2$  and  $\Delta_3 \rightarrow \Delta_3$ . Then, the invariant Yukawa interaction terms among two doublets and a triplet are

$$\begin{aligned}\mathcal{L}_y &= -\left( (m_1^0 + \tilde{m}_1) \omega^2 (\overline{\Psi_1})^C i\tau_2 \frac{\Delta_1}{v_1} \Psi_1 + (m_2^0 + \tilde{m}_2) \omega (\overline{\Psi_2})^C i\tau_2 \frac{\Delta_2}{v_2} \Psi_2 \right. \\ &\quad \left. + (m_3^0 + \tilde{m}_3) (\overline{\Psi_3})^C i\tau_2 \frac{\Delta_3}{v_3} \Psi_3 \right) \\ &\quad - 2 \left( \tilde{m}_1 \omega^2 (\overline{\Psi_2})^C i\tau_2 \frac{\Delta_1}{v_1} \Psi_3 + \tilde{m}_2 \omega (\overline{\Psi_3})^C i\tau_2 \frac{\Delta_2}{v_2} \Psi_1 + \tilde{m}_3 (\overline{\Psi_1})^C i\tau_2 \frac{\Delta_3}{v_3} \Psi_2 \right),\end{aligned}\tag{39}$$

where  $v_i$  are vacuum expectation values of  $\Delta_i$ . When vacuum expectation values of triplet Higgs are generated, the Majorana-type mass term given in Eq.(7) is generated for neutrinos. We argue that in order to acquire small vacuum expectation values of triplet Higgs bosons, the seesaw suppression mechanism[16] should be adopted.

(c) Non-renormalizable interaction

The triplet representation can be composed of two doublet representation. We can explicitly construct the Higgs triplet,  $\Delta_i$  by the combinations of two Higgs doublets,  $H_j$  which transform as

$$H_1 \rightarrow \omega H_1, H_2 \rightarrow H_2. \quad (40)$$

The symmetric combinations  $H_1 H_1$ ,  $H_1 H_2$  and  $H_2 H_2$  transform as  $\Delta_1$ ,  $\Delta_2$  and  $\Delta_3$ . Thus, we obtain the Lagrangian as

$$\begin{aligned} \mathcal{L}_y = & - \left( (m_1^0 + \tilde{m}_1) \omega^2 (\overline{\Psi}_1)^C \Psi_1 \frac{H_1 H_1}{u_1^2} + (m_2^0 + \tilde{m}_2) \omega (\overline{\Psi}_2)^C \Psi_2 \frac{H_1 H_2}{u_1 u_2} \right. \\ & \left. + (m_3^0 + \tilde{m}_3) (\overline{\Psi}_3)^C \Psi_3 \frac{H_2 H_2}{u_2^2} \right) \\ & - 2 \left( \tilde{m}_1 \omega^2 (\overline{\Psi}_2)^C \Psi_3 \frac{H_1 H_1}{u_1^2} + \tilde{m}_2 \omega (\overline{\Psi}_1)^C \Psi_3 \frac{H_1 H_2}{u_1 u_2} + \tilde{m}_3 (\overline{\Psi}_1)^C \Psi_2 \frac{H_2 H_2}{u_2^2} \right), \quad (41) \end{aligned}$$

where  $u_i$  is the vacuum expectation value of the neutral component of  $H_i$ . After the symmetry breaking, the neutrino mass matrix in Eq.(7) is obtained.

## 6 Discussions

We introduced the democratic-type neutrino mass matrix by extending the democratic mass matrix and found that one angle  $\theta_{23}$  and the CP violation phase intrinsic to the Dirac system are predicted to be  $\theta_{23} = -\pi/4$  and  $\delta = \pi/2$ . As a consequence, the mixing matrix is expressed by two angles,  $\theta_{12}$  and  $\theta_{13}$ , as shown in Eq.(15). If the solar neutrino problem turns out to be solved by the large angle solutions, the large CP violation effect is expected. In this situation, our model predicts that the Jarlskog parameter is about half of the maximal value,  $J_{CP} = -0.047$  with  $\sin^2 2\theta_{solar} = 0.8$ . This could be explored by the future long-baseline experiments.

Our model predicts no CP violation intrinsic to Majorana neutrino system[13],[14]. The phase  $i$  in the Majorana phase matrix  $\text{diag}(1, 1, i)$  in Eq.(15) relates to the CP signs of mass eigenstate neutrinos[17] in addition to relative signs of neutrino masses. The phase matrix  $\text{diag}(1, e^{i\rho}, e^{-i\rho})$  in Eq.(15) are absorbed by charged leptons.

The effect for the neutrinoless double beta decay is given by[18]

$$| < m_\nu > | \equiv |\sum_j' U_{ej}^2 m_j| = |(m_1 c_{12}^2 + m_2 s_{12}^2) c_{13}^2 + m_3 s_{13}^2| , \quad (42)$$

where the dash in the sum means that  $j$  extends to light neutrinos. The mixing matrix  $U$  is the matrix including the Majorana phase matrix,  $U = V_{SF} \text{diag}(1, 1, i)$ . The effective mass  $| < m_\nu > |$  depends on the relative signs among  $m_1$ ,  $m_2$  and  $m_3$ , which corresponds to CP signs of mass eigenstate neutrinos[17]. Here we take  $m_1 > 0$ . In case that  $|m_1| \simeq |m_2|$ , we find

$$| < m_\nu > | = \begin{cases} |m_1 c_{13}^2 + m_3 s_{13}^2| & (m_2 > 0) \\ |m_1 \cos 2\theta_{12} c_{13}^2 + m_3 s_{13}^2| & (m_2 < 0) \end{cases} . \quad (43)$$

There are three typical cases.

1. The similar mass case  $|m_2| \sim |m_3| \sim m_1$

In this case,  $\Delta_{solar}$  and  $\Delta_{atm}$  do not constrain neutrino mass themselves. The effective mass  $| < m_\nu > | \sim m_1$  or  $m_1 |\cos 2\theta_{12}|$  could be as large as the sensitivity of the neutrinoless double beta decay experiment. It may be worthwhile to comment that  $\cos 2\theta_{12} \sim 1$  for the small angle solution and  $\sim 0.44$  for the large angle solutions such as  $\sin^2 2\theta_{solar} \simeq 0.8$  for the solar neutrino problem.

2. The hierarchical case

- (a)  $|m_3| \gg m_1 \simeq |m_2|$

We expect that  $|m_3| \sim \sqrt{\Delta_{atm}} \sim 0.05\text{eV}$ . Then, we expect  $| < m_\nu > | \ll |m_3| \sim 0.05\text{eV}$ , which may be hard to be detected.

- (b)  $m_1 \simeq |m_2| \gg |m_3|$

We expect that  $m_1 \sim \sqrt{\Delta_{atm}} \sim 0.05\text{eV}$ . Then, we expect  $| < m_\nu > | \sim m_1$  or  $|\cos 2\theta_{12}| m_1$  which is about the order 0.05eV, which may be within the reach of the future experiment.

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Note added: After submitting our paper, we are informed by H. Fritzsch and Z.Z. Xing[19] that they discussed another possibility to obtain the large CP violation.



## Appendix A: Explicit expressions of mass parameters and the interesting property of the democratic mass matrix

(a) Mass parameters

Mass parameters  $m_i^0$  and  $\bar{m}_i = m_i^0 + 3\tilde{m}_i$  are explicitly expressed in terms of neutrino masses and mixing angles,  $\theta_{12}$  and  $\theta_{13}$ , and the unphysical phase  $\rho$  which is eaten by the phase redefinition of charged leptons. This is achieved by examining

$$m_\nu = V^* \begin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{pmatrix} V^\dagger. \quad (\text{A.1})$$

We find for  $\omega = e^{i2\pi/3}$

$$\begin{aligned} m_3^0 &= \left\{ \frac{1}{2}(s_{12}^2 + c_{12}^2 s_{13}^2) - \sqrt{2}c_{12}c_{13}(s_{12} \cos \rho + c_{12}s_{13} \sin \rho) \right\} m_1 \\ &\quad + \left\{ \frac{1}{2}(c_{12}^2 + s_{12}^2 s_{13}^2) + \sqrt{2}s_{12}c_{13}(c_{12} \cos \rho - s_{12}s_{13} \sin \rho) \right\} m_2 \\ &\quad + \left\{ \frac{1}{2}c_{13}^2 + \sqrt{2}s_{13}c_{13} \sin \rho \right\} m_3, \\ m_2^0 &= \left\{ \frac{1}{2}(s_{12}^2 + c_{12}^2 s_{13}^2) - \sqrt{2}c_{12}c_{13} \left( s_{12} \cos \left( \rho + \frac{2\pi}{3} \right) + c_{12}s_{13} \sin \left( \rho + \frac{2\pi}{3} \right) \right) \right\} m_1 \\ &\quad + \left\{ \frac{1}{2}(c_{12}^2 + s_{12}^2 s_{13}^2) + \sqrt{2}s_{12}c_{13} \left( c_{12} \cos \left( \rho + \frac{2\pi}{3} \right) - s_{12}s_{13} \sin \left( \rho + \frac{2\pi}{3} \right) \right) \right\} m_2 \\ &\quad + \left\{ \frac{1}{2}c_{13}^2 + \sqrt{2}s_{13}c_{13} \sin \left( \rho + \frac{2\pi}{3} \right) \right\} m_3, \\ m_1^0 &= \left\{ \frac{1}{2}(s_{12}^2 + c_{12}^2 s_{13}^2) - \sqrt{2}c_{12}c_{13} \left( s_{12} \cos \left( \rho - \frac{2\pi}{3} \right) + c_{12}s_{13} \sin \left( \rho - \frac{2\pi}{3} \right) \right) \right\} m_1 \\ &\quad + \left\{ \frac{1}{2}(c_{12}^2 + s_{12}^2 s_{13}^2) + \sqrt{2}s_{12}c_{13} \left( c_{12} \cos \left( \rho - \frac{2\pi}{3} \right) - s_{12}s_{13} \sin \left( \rho - \frac{2\pi}{3} \right) \right) \right\} m_2 \\ &\quad + \left\{ \frac{1}{2}c_{13}^2 + \sqrt{2}s_{13}c_{13} \sin \left( \rho - \frac{2\pi}{3} \right) \right\} m_3, \\ \bar{m}_3 &= \left\{ c_{12}^2 c_{13}^2 + (s_{12}^2 - c_{12}^2 s_{13}^2) \cos 2\rho + 2s_{12}c_{12}s_{13} \sin 2\rho \right\} m_1 \\ &\quad + \left\{ s_{12}^2 c_{13}^2 + (c_{12}^2 - s_{12}^2 s_{13}^2) \cos 2\rho - 2s_{12}c_{12}s_{13} \sin 2\rho \right\} m_2 \\ &\quad + \left\{ s_{13}^2 - c_{13}^2 \cos 2\rho \right\} m_3, \\ \bar{m}_2 &= \left\{ c_{12}^2 c_{13}^2 + (s_{12}^2 - c_{12}^2 s_{13}^2) \cos \left( 2\rho - \frac{2\pi}{3} \right) + 2s_{12}c_{12}s_{13} \sin \left( 2\rho - \frac{2\pi}{3} \right) \right\} m_1 \\ &\quad + \left\{ s_{12}^2 c_{13}^2 + (c_{12}^2 - s_{12}^2 s_{13}^2) \cos \left( 2\rho - \frac{2\pi}{3} \right) - 2s_{12}c_{12}s_{13} \sin \left( 2\rho - \frac{2\pi}{3} \right) \right\} m_2 \end{aligned}$$

$$\begin{aligned}
& + \left\{ s_{13}^2 - c_{13}^2 \cos \left( 2\rho - \frac{2\pi}{3} \right) \right\} m_3 , \\
\bar{m}_1 = & \left\{ c_{12}^2 c_{13}^2 + (s_{12}^2 - c_{12}^2 s_{13}^2) \cos \left( 2\rho + \frac{2\pi}{3} \right) + 2s_{12} c_{12} s_{13} \sin \left( 2\rho + \frac{2\pi}{3} \right) \right\} m_1 \\
& + \left\{ s_{12}^2 c_{13}^2 + (c_{12}^2 - s_{12}^2 s_{13}^2) \cos \left( 2\rho + \frac{2\pi}{3} \right) - 2s_{12} c_{12} s_{13} \sin \left( 2\rho + \frac{2\pi}{3} \right) \right\} m_2 \\
& + \left\{ s_{13}^2 - c_{13}^2 \cos \left( 2\rho + \frac{2\pi}{3} \right) \right\} m_3 .
\end{aligned} \tag{A.2}$$

(b) Interesting properties of the democratic mass matrix

The democratic mass matrix  $m_{\nu, demo}$  defined in Eq.(5) consists of matrices  $S_i$  which are rank 1 and have a special property that they are diagonalized simultaneously by the bilinear transformation  $V_T^T S_i V_T$  with the unitary matrix  $V_T$ . The condition that symmetric matrices  $A$  and  $B$  are diagonalized simultaneously by this transformation is  $A^* B = B^* A$  and matrices  $S_i$  satisfy  $S_i^* S_j = 0$  for  $i \neq j$ , so that they satisfy the condition trivially. In fact, we find

$$V_T^T S_i V_T = D_i , \tag{A.3}$$

where  $D_i$  are diagonal matrix as  $D_1 = \text{diag}(1, 0, 0)$ ,  $D_2 = \text{diag}(0, 1, 0)$ ,  $D_3 = \text{diag}(0, 0, 1)$  and

$$V_T = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ \omega & \omega^2 & 1 \\ \omega^2 & \omega & 1 \end{pmatrix} . \tag{A.4}$$

By using  $V_T$ , the democratic neutrino mass matrix  $m_{\nu, demo}$  in Eq.(5) is diagonalized as

$$V_T^T m_{\nu, demo} V_T = \begin{pmatrix} m_1^0 & 0 & 0 \\ 0 & m_2^0 & 0 \\ 0 & 0 & m_3^0 \end{pmatrix} . \tag{A.5}$$

Thus, in this limit  $m_i^0$  are interpreted to be masses of neutrinos.

The unitary matrix  $V_T$  is nothing but the tri-maximal mixing matrix. The matrix  $V_T$

is transformed into the standard form as

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & i & 0 \\ 0 & 0 & i \end{pmatrix} V_T \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -i \end{pmatrix} = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & -i \\ i\omega & i\omega^2 & 1 \\ i\omega^2 & i\omega & 1 \end{pmatrix}. \quad (\text{A.6})$$

Therefore, the CP violation phase intrinsic to a Dirac neutrino system is  $\delta = \pi/2$ , i.e., the maximal CP violation. There are two other phases that are intrinsic to Majorana neutrino system which is the same as the general case given in Eq.(15).

## Appendix B: Other ansatz about mass parameters

In the text, we considered the model which predicts  $V_{2j} = V_{3j}^*$ . Here we consider other such possibilities.

(a) The mass matrix which predicts  $V_{1j} = V_{3j}^*$

We consider the case that  $m_1^0$  and  $\tilde{m}_1$  are proportional to  $\omega^2$ ,  $m_2^0$  and  $\tilde{m}_2$  to  $\omega$ , and  $m_3^0$  and  $\tilde{m}_3$  to 1. When this mass matrix is transformed by  $V_T$ , we obtain the mass matrix  $\tilde{m}_\nu$  given in Eq.(10) which is a complex symmetric matrix. However, these complex phases are removed by the phase transformation by phase matrix  $\text{diag}(\omega^2, \omega, 1)$  and  $\tilde{m}_\nu$  can be transformed into real symmetric matrix. That is, by the tri-maximal mixing matrix

$$V'_T = V_T \begin{pmatrix} \omega^2 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad (\text{B.1})$$

the mass matrix  $m_\nu$  is transformed by a real symmetric mass matrix  $\tilde{m}'_\nu$

$$\tilde{m}'_\nu = V'^T_T m_\nu V'_T. \quad (\text{B.2})$$

This mass matrix is diagonalized by an orthogonal matrix  $O'$ .

Thus, the mixing matrix is given by

$$V = V'_T O'. \quad (\text{B.3})$$

This mixing matrix has the property that  $V_{1j} = V_{3j}^*$  for  $j = 1, 2, 3$ . As we discussed in the text, this condition implies that  $|(V_{SF})_{1j}| = |(V_{SF})_{3j}|$  for  $j = 1, 2, 3$ . By solving these

equations, we find

$$c_{23}^2 = \frac{s_{13}^2}{c_{13}^2}, \quad \cos \delta = -\frac{s_{23}}{c_{23}s_{13}} \cot 2\theta_{12}. \quad (\text{B.4})$$

Since the CHOOZ data gives the severe constraint,  $s_{13}^2 < 0.05$  and  $c_{23}^2 \simeq s_{13}^2$ , we can not predict the large mixing between  $\nu_\mu$  and  $\nu_\tau$ . Thus, unfortunately this model can not explain the atmospheric data and the CHOOZ data simultaneously.

(b) The mass matrix which predicts  $V_{1j} = V_{2j}^*$

We consider the case that  $m_1^0$  and  $\tilde{m}_1$  are proportional to  $\omega$ ,  $m_2^0$  and  $\tilde{m}_2$  to  $\omega^2$ , and  $m_3^0$  and  $\tilde{m}_3$  to 1. By repeating the same discussion for the previous case, we find that  $m_\nu$  can be transformed into real symmetric mass matrix  $\tilde{m}_\nu$  by the tri-maximal mixing matrix as

$$\tilde{m}_\nu = V_T'' m_\nu V_T'', \quad (\text{B.5})$$

where

$$V_T'' = V_T \begin{pmatrix} \omega & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & 1 \end{pmatrix}. \quad (\text{B.6})$$

Then, we find that  $V_{1j} = V_{2j}^*$  for  $j = 1, 2, 3$ , which implies that  $|(V_{SF})_{1j}| = |(V_{SF})_{2j}|$  for  $j = 1, 2, 3$ . We find

$$s_{23}^2 = \frac{s_{13}^2}{c_{13}^2}, \quad \cos \delta = \frac{c_{23}}{s_{23}s_{13}} \cot 2\theta_{12}. \quad (\text{B.7})$$

Since  $s_{23}^2$  should be very small to explain the CHOOZ data, this model can not explain the atmospheric data.

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